

Attachment A – UF Existing Retirement Plan information

Plan Profile: University of Florida 403(b)	
Plan Sponsor Name	The University of Florida
Address	

Plan Information	
Plan Types (403(b) Plans)	University of Florida 403(b) Plan
Investment direction for employee and employer contributions	Participant directed

Plan Operational Information – University of Florida 403(b)	
Current record-keeper or service provider	Fidelity MetLife TIAA VALIC Voya

Plan Investment Information - University of Florida 403(b)	
Amount of existing assets	\$935.8M as of 12/31/19
Breakdown of existing assets	See attached exhibits
Loans/amounts	
Forfeitures	

Investments

Participants have investment discretion over their accounts and may choose from investment options offered under recordkeeper’s available options. The attached exhibits show the current plan lineup.

Plan Design & Assets

Information on the plan design and historic assets can be found in the attached summary plan descriptions.

Payroll Structure –

Contribution submission – Biweekly
 Frequency of data remittance(s) - Biweekly
 Method of data remittances – PGP encrypted and SFTP
 Contribution remittances - ACH

Plan Profile: J. Hillis Miller Health Center 403(b)	
Plan Sponsor Name	J. Hillis Miller Health Center 403(b) Plan
Address	
Plan Information	
Plan Types (403(b) Plans)	J. Hillis Miller Health Center 403(b) Plan
Investment direction for employee and employer contributions	Participant directed
Plan document	

Plan Operational Information – J. Hillis Miller Health Center 403(b)	
Current record-keeper or service provider	Fidelity MetLife TIAA VALIC Voya

Plan Investment Information - J. Hillis Miller Health Center 403(b)	
Amount of existing assets	\$333.2M as of 12/31/19
Breakdown of existing assets	See attached exhibits
Loans/amounts	
Forfeitures	

Investments

Participants have investment discretion over their accounts and may choose from investment options offered under recordkeeper's available options. The attached exhibit shows the current plan lineup.

Plan Design & Assets

Information on the plan design and historic assets can be found in the attached summary plan descriptions.

Payroll Structure –

Contribution submission – Biweekly

Frequency of data remittance(s) - Biweekly

Method of data remittances – PGP encrypted and SFTP

Contribution remittances - ACH

Plan Profile: University of Florida 401(a) FICA Alternative Plan	
Plan Sponsor Name	University of Florida 401(a) FICA Alternative Plan

Plan Information	
Plan Types (401(a) Plans)	University of Florida 401(a) FICA Alternative Plan
Investment direction for employee and employer contributions	Participant directed
Plan document	

Plan Operational Information – University of Florida 401(a) FICA Alternative Plan	
Current record-keeper or service provider	VALIC

Plan Investment Information - University of Florida 401(a) FICA Alternative Plan	
Amount of existing assets	\$115.2M as of 12/31/19
Breakdown of existing assets	See attached exhibits
Loans/amounts	
Forfeitures	

Investments

Participants have investment discretion over their accounts and may choose from investment options offered under VALIC's available options. The attached exhibit shows the current plan lineup.

Plan Design & Assets

Information on the plan design and historic assets can be found in the attached summary plan descriptions.

Payroll Structure –

Contribution submission – Biweekly

Frequency of data remittance(s) - Biweekly

Method of data remittances – PGP encrypted and SFTP

Contribution remittances - ACH

Plan Profile: University of Florida Special Pay Plan	
Plan Sponsor Name	University of Florida Special Pay Plan

Plan Information	
Plan Types (414(h) Plans)	University of Florida Special Pay Plan
Investment direction for employee and employer contributions	Participant directed
Plan document	

Plan Operational Information – University of Florida Special Pay Plan	
Current record-keeper or service provider	VALIC

Plan Investment Information - University of Florida Special Pay Plan	
Amount of existing assets	\$23.8M as of 12/31/19
Breakdown of existing assets	See attached exhibits

Loans/amounts	
Forfeitures	

Investments

Participants have investment discretion over their accounts and may choose from investment options offered under VALIC's available options. The attached exhibit shows the current plan lineup.

Plan Design & Assets

Information on the plan design and historic assets can be found in the attached summary plan descriptions.

Payroll Structure –

Contribution submission – Biweekly

Frequency of data remittance(s) - Biweekly

Method of data remittances – PGP encrypted and SFTP

Contribution remittances – ACH

Plan	Account Number	Account Name	Market Value
The University of Florida 403(b) Plan			\$ 935,806,050
Fidelity	51780	University of Florida	\$ 211,073,734
MetLife	0827491	University of Florida	\$ 595,777
MetLife	0829677	University of Florida	\$ 61,252,480
MetLife	1016068	University of Florida	\$ 296,788
TIAA	102516	University of Florida 403B Plan	\$ 324,118,807
VALIC	01103	University of Florida 403B Plan	\$ 292,169,427
Voya	VFE591	University of Florida	\$ 46,299,036
The J. Hillis Miller Health Center 403(b) Plan			\$ 333,186,382
Fidelity	62457	University of Florida AEF-College of Medicine	\$ 65,015,408
MetLife	0829767	University of Florida, College of Medicine	\$ 10,332,931
MetLife	0828738	College of Dentistry, University of Florida	\$ 99,555
TIAA	314263	University of Florida Health Center Plan	\$ 105,434,290
VALIC	40287	University of Florida College of Medicine	\$ 126,631,852
VALIC	50109	University of Florida College of Dentistry	\$ 1,414,846
Voya	VFE165	College of Medicine AEF Retirement Program	\$ 24,105,225
Voya	VFE256	College of Dentistry	\$ 152,275
The University of Florida Board of Trustees 401(a) FICA Alternative and Special Pay Plan			\$ 139,043,249
VALIC	71174 Plan 001	University of Florida FICA Alternative Plan	\$ 115,203,217
	VALIC71174 Plan 002	University of Florida Special Pay Plan	\$ 23,840,032
Total Combined DC Plans			\$ 1,408,035,682

University of Florida Investment Products Analysis

Plan	Product	ER Directed	EE Directed	Total	Comments
The University of Florida 403(b) Plan		291,886,261	596,846,316	\$888,732,576	
J Hillis Miller health Center 403(b) Plan		114,746,458	192,805,386	\$307,551,845	
FICA Alt Plan		\$86,915,481	\$0	\$86,915,481	
Special Pay Plan		\$21,800,849	\$0	\$21,800,849	
VALIC (as of 3/19/20)					
Plan	Product	ER Directed	EE Directed	Total	Comments
The University of Florida 403(b) Plan	Mutual Funds	\$38,052,621			Employer may direct the transfer of the plan assets
	GFA-504 - Fixed Interest Option - MF Platform	\$10,367,688			20% annual withdrawal restriction
	Impact		\$20,736		EE Directed Only - Regardless of the terms of the plan document
	Independence Plus		\$10,403,108		Contract reserves the authority to the Participant*
	GUP		\$7,833,608		Contract reserves the authority to the Participant*
	VPlan		\$16,005,923		Contract reserves the authority to the Participant*
	Portfolio Director - Series 5		\$140,395,390		Contract reserves the authority to the Participant*
	Portfolio Director Plus		\$22,016,881		
	TOTAL	\$48,420,309	\$196,675,646	\$245,095,954	
J Hillis Miller health Center 403(b) Plan College of Dentistry	Mutual Funds	\$107,569			Employer may direct the transfer of the plan assets
	GFA-504 - Fixed Interest Option - MF Platform	\$18,423			20% annual withdrawal restriction
	Independence Plus		\$98,959		Contract reserves the authority to the Participant*
	VPlan		\$100,719		Contract reserves the authority to the Participant*
	Portfolio Director - Series 2		\$930,255		Contract reserves the authority to the Participant*
		\$125,992	\$1,129,933	\$1,255,925	
J Hillis Miller health Center 403(b) Plan College of Medicine	Mutual Funds	\$25,174,405			Employer
	GFA-504 - Fixed Interest Option - MF Platform	\$4,318,878			20% annual withdrawal restriction
	GUP		\$33,179		Contract reserves the authority to the Participant*

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	Independence Plus		\$3,296,772		Contract reserves the authority to the Participant*
	VPlan		\$9,286,174		Contract reserves the authority to the Participant*
	Portfolio Director - Series 2		\$59,046,829		Participant Reserves the authority to the Participant*
		\$29,493,282	\$71,662,953	\$101,156,235	
University of Florida Board of Trustees	Mutual Funds				
FICA Alt Plan	All Variable Funds	\$86,915,481			Employer may direct the transfer of the plan assets
Special Pay Plan	All Variable Funds	\$21,800,849			Employer may direct the transfer of the plan assets
		\$108,716,330		\$108,716,330	
GRAND TOTAL		\$186,755,913	\$269,468,532	\$456,224,445	
* Unless the terms of the plan document specifically reserve such authority to the Plan, the Plan appears to provide for amendment authority under Section 8.					